

On Inter-Procedural Flow Analysis

In this newsletter we suggest a possible modification of the data-flow maps in the presence of subprocedures, and show how these modified maps can be utilized in further global flow-analysis.

I. Introduction

The inter-procedural data-flow analysis consists of several phases. The first phase establishes the call-graph of the program, which may not be straight-forward, because of possible procedure-variables, map retrieval interpreted as a function call, partial compilation, etc. The second step obtains local information for each procedure  $P$ , including the sets defsof( $P$ ), usesin( $P$ ) and thru( $P$ ), defined in NL134 p.9. This information is already of an inter-procedural nature, and may require some iteration on the nodes of the call graph in case of cycles (recursive calls) in the graph.

The guiding principle for these phases is to obtain only safe information, i.e. - any plausible algorithm should yield only over-estimation for the edges of the call-graph, for defsof, usesin and thru.

We also assume that intra-procedural analysis has been carried out for each procedure. Taking the bfrom map, for example, we assume that for any ivariable occurrence  $i$  of a variable  $x$  in any procedure  $P$ , we have a modified set, auxbfrom( $i$ ), which contains

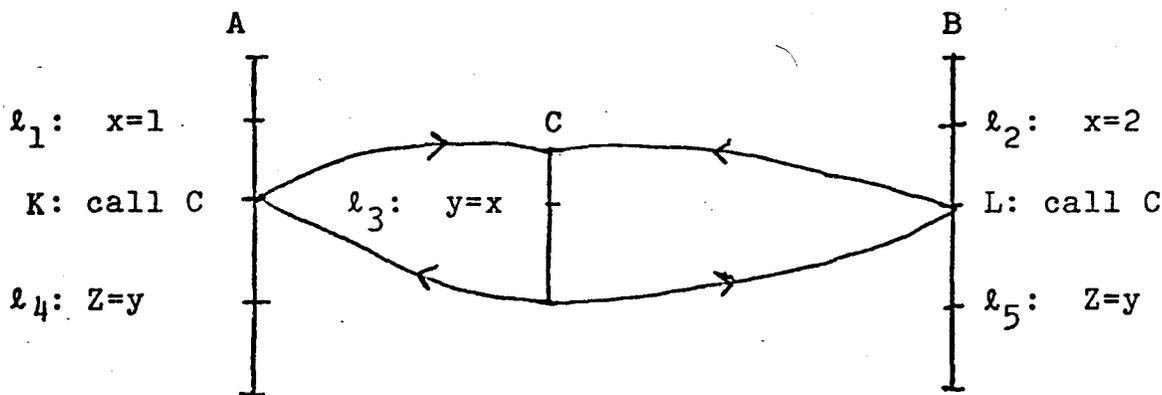
- (a) the occurrences of  $x$  in  $P$  from which  $i$  can be reached via a  $x$ -free path.
- (b) the calling points in  $P$  to other procedures which might use or define  $x$ , and such that there is an  $x$ -clear path from these calling points to  $i$ .

- (c) the entry point of P, if P can be reached from this point via a x-clear path.

Note that this analysis is performed simultaneously with the previous phases and may too require iteration in case of recursive procedures.

Before describing the kind of global, inter-procedural, information we'd like to derive from the above, let us first consider some examples:

- (1) The simplest and most common example is - two procedures, A, B, each calling a third procedure C. The following diagram shows such a case:



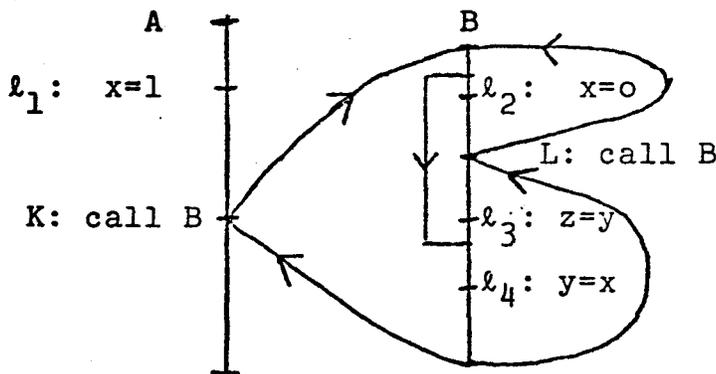
In this situation,  $\text{bfrom}(y_4)$  and  $\text{bfrom}(y_5)$  should both include  $y_3$ , and  $\text{bfrom}(x_3)$  should include both  $x_1$  and  $x_2$  (We use the notation  $v_k$  to indicate the occurrence of the variable  $v$  at line  $l_k$ ). However, during further attribute - flow analysis (type-finding, value-flow etc) we wish to avoid linking  $z_4$  to  $x_2$  and  $z_5$  to  $x_1$ . (through the above chainings of the  $y$  occurrences and the  $x$ -occurrences).

This situation is common enough to worry about. In fact, except for stylistic reasons, the only reason to make a code fragment into a procedure is that it is invoked from several places in the program.

Remarks: (a) The same problem will occur if C is called from several points in A alone, so emphasis should be placed on particular calls, rather than more coarsely on the calling procedure.

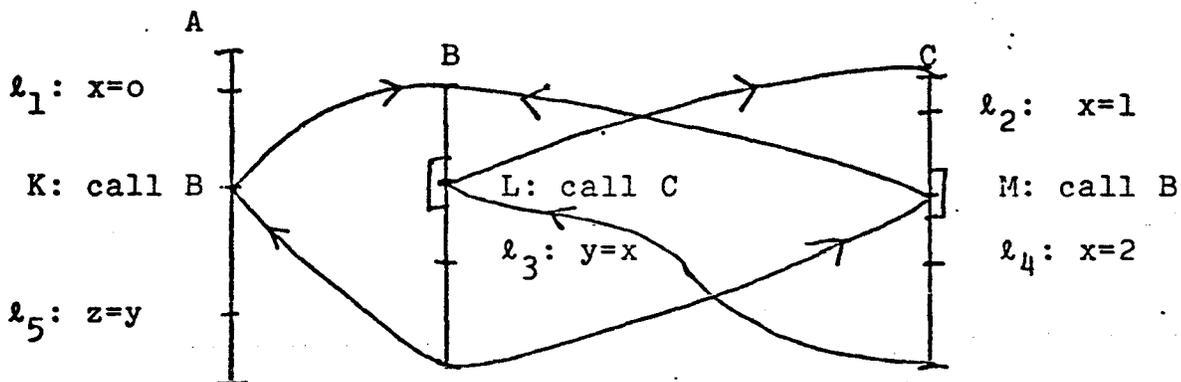
(b) If, in the above example, we replace  $\ell_4$  and  $\ell_5$  by  $z=x$ , then we could overcome our problem by replacing the bfrom map by the ud map. Indeed, realizing that  $x \in$  thru (C), we can deduce that ud( $x_4$ ) includes  $x_3$  and  $x_1$  but not  $x_2$ , if we only carry out an intra-procedural analysis, making use of defsof, usesin and thru of each procedure. The reason for this is that by a direct evaluation of ud we take the transitive closure of bfrom in a selective manner, making sure that after crossing to a called procedure, we go back to the same calling point only.

(2) A single recursive procedure. For example:



In this situation, bfrom( $y_3$ ) includes  $y_4$ , and bfrom ( $x_4$ ) includes  $x_1$ . But  $y_3$  or  $z_3$  are never linked to  $x_1$ , but rather to  $x_2$ . Hence we have another instance of incorrect chaining.

(3) Co-recursive procedures, For example



Here, bfrom( $y_5$ ) includes  $y_3$  and bfrom( $x_3$ ) includes  $x_1, x_2, x_4$ . However,  $z_5$  can not be linked to  $x_2$  but only to  $x_1, x_4$ .

Unlike the first example, the last two cases may be adjudged uncommon enough to ignore the problems they raise and be satisfied with the standard over-estimated data flow. Nevertheless, the algorithm that we shall now suggest will be able to handle these general cases as well.

## II. The modified bfrom map and its applications.

It is clear from the examples above that some kind of trace-back information ought to be kept during attribute-flow analysis. We propose the following format for a modified bfrom map: Let  $v$  be an invariable occurrence of the variable  $x$  in a procedure  $P$ . Then bfrom( $v$ ) contains all elements of the form  $u(w)$ , where  $u$  is an occurrence of  $x$  anywhere in the program from which  $v$  can be reached via a  $x$ -clear path, and  $w$  is a (possibly empty) string of procedure-call points, preceded by procedure-return points, such that

- (a) all the procedure-calls and procedure-returns in  $w$  are executed (in the order from left to right) along the path from  $u$  to  $v$ .
- (b) No other calls or returns are executed along this path, except for complete calls (i.e. - a call followed later by a return to the same place).
- (c) all procedure returns in  $w$  precede all procedure-calls. We denote a call from a statement  $K$  by  $K$  itself and a return to  $K$  by  $K^{-1}$ .

Examples: Consider the above 3 examples.

$$\begin{aligned}
 (1) \quad \underline{\text{bfrom}}(y_4) &= \{ y_3(K^{-1}) \} \\
 \underline{\text{bfrom}}(y_5) &= \{ y_3(L^{-1}) \} \\
 \underline{\text{bfrom}}(x_3) &= \{ x_1(K), x_2(L) \}
 \end{aligned}$$

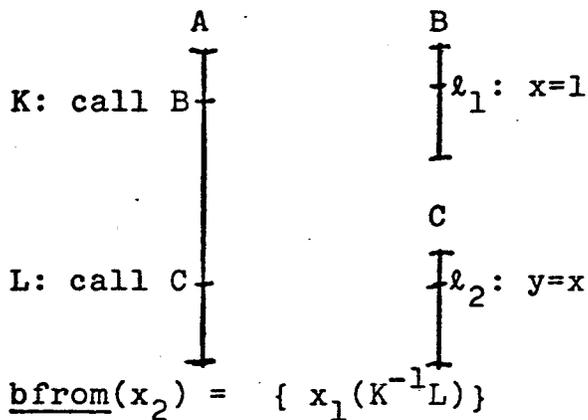
$$(2) \quad \underline{\text{bfrom}}(y_3) = \{ y_4(L^{-1}) \}$$

$$\underline{\text{bfrom}}(x_4) = \{ x_2(L), x_1(K) \}$$

$$(3) \quad \underline{\text{bfrom}}(y_5) = \{ y_3(K^{-1}) \}$$

$$\underline{\text{bfrom}}(x_3) = \{ x_4(L^{-1}), x_2(M), x_1(K) \}$$

(4) In the following example,



Let us introduce some notations at this point. A string of calls and returns which satisfies (a)-(c) for some path in the program is called proper. i.e. - except for missing complete calls (cf. (b)), the proper strings represent all possible transfers of control between procedures along execution paths in the program. Clearly, if complete calls are ignored, then the general form of such a transfer of control is: some procedure returns followed by some procedure calls. We shall see later that the algorithm becomes more efficient if complete calls are ignored.

Additional notations are: if  $w = K_1^{-1} K_2^{-1} \dots K_m^{-1} L_1 L_2 \dots L_n$  is a proper string, then define callpart ( $w$ ) =  $L_1 L_2 \dots L_n$  and returnpart ( $w$ ) =  $K_1^{-1} K_2^{-1} \dots K_m^{-1}$ .

It is easy to obtain this bfrom map from the information already obtained (auxbfrom, defsof, usesin, thru etc.) in precisely the same way to obtain the standard bfrom. One has only to update the string of returns and calls every time procedure boundaries are crossed.

The appropriate way to utilize this modified bfrom is during any attribute-flow analysis. Suppose that the attributes appearing in such an analysis form a lattice  $L$ . Let us define a primitive trace-back attribute as a pair  $(\alpha, w)$ , where  $\alpha \in L$  and  $w$  is a proper string of returns and calls. The heuristic meaning of  $(\alpha, w)$  is as follows: the variable occurrence to which  $(\alpha, w)$  is ascribed can have attribute  $\alpha$  if the occurrence is encountered after executing a program path corresponding to  $w$ . A general trace-back attribute is defined as a finite disjunction of primitive attributes, in the form  $(\alpha_1, w_1) \vee \dots \vee (\alpha_n, w_n)$  with a similar meaning, and with the simplification that whenever  $w_i = w_j$ , we replace  $(\alpha_i, w_i) \vee (\alpha_j, w_j)$  by  $(\alpha_i \vee \alpha_j, w_i)$  and that if  $\alpha_1 = \alpha_2 = \dots = \alpha_n = \alpha$  and  $w_1, \dots, w_n$  are all the possible trace-backs for this variable occurrence, we simply replace this attribute by  $(\alpha, e)$ . (Evidently there are other similar simplifications which can be applied but they would complicate the algorithm).

Let us recall that in general attribute flow algorithms propagate attributes in four basic ways (cf. V1). We shall show how to modify each propagation rule when the modified bfrom map that we have described is available:

I. FWD( $\leftarrow$ ): In this case we have an instruction  $o = i_1 \text{ op } i_2$ , and we want to find the attribute of  $o$  from the attributes of  $i_1$  and  $i_2$ . Suppose that  $(\alpha_1, w_1)$ ,  $(\alpha_2, w_2)$  are primitive attributes of  $i_1, i_2$  respectively, and that  $\alpha = \text{forward } (\alpha_1, \alpha_2, \text{op})$  is the standard attribute derived for  $o$  in this instruction.

Let us say that  $w_1$  and  $w_2$  are compatible if there exist two corresponding paths in the program flow such that one of them is a terminal subpath of the other. Thus, in example 3, KLM and M are compatible, whereas KLM and K are not.

Thus, if  $w_1$  and  $w_2$  are compatible, and  $w_2$  has a shorter program path than  $w_1$ , then as we execute the path corresponding to  $w_1$ , both attributes  $\alpha_1$ ,  $\alpha_2$  become effective, and hence  $(\alpha, w_1)$  can be correctly taken as a primitive attribute of  $o$ . It is also clear that if  $w_1$  and  $w_2$  are not compatible, then no inference about the attribute of  $o$  can be made from  $\alpha_1$  and  $\alpha_2$ . Let us denote by  $\max(w_1, w_2)$  the one with the larger program path, and similarly define  $\min(w_1, w_2)$ .

In this way the attribute of  $o$  is computed. If  $(\alpha_1, w_1)$  is a primitive attribute of  $i_1$  for which there is no primitive attribute  $(\alpha_2, w_2)$  of  $i_2$  such that  $w_1, w_2$  are compatible, we add  $(0, w_1)$  as a primitive attribute of  $o$  ( $0$  is the zero, or error attribute).

Note that in the second pass of the typefinder, e.g., we will want to compute the conjunction of the attribute of  $o$  with the above-calculated attribute. The appropriate rules for this are as follows:

$$(a) \quad [(\alpha_1, w_1) \vee \dots \vee (\alpha_n, w_n)] \wedge [(\beta_1, z_1) \vee \dots \vee (\beta_m, z_m)] \\ = \bigvee_{i,j} [(\alpha_i, w_i) \wedge (\beta_j, z_j)]$$

(b) If  $w_i$  and  $z_j$  are compatible, and, say,  $w_i$  is their minimum and  $z_j$  is their maximum, then

$$(\alpha_i, w_i) \wedge (\beta_j, z_j) = (\alpha_i \wedge \beta_j, z_j) \vee (\alpha_i, w_i)$$

otherwise, the conjunction  $(\alpha_i, w_i) \wedge (\beta_j, z_j)$  is ignored.

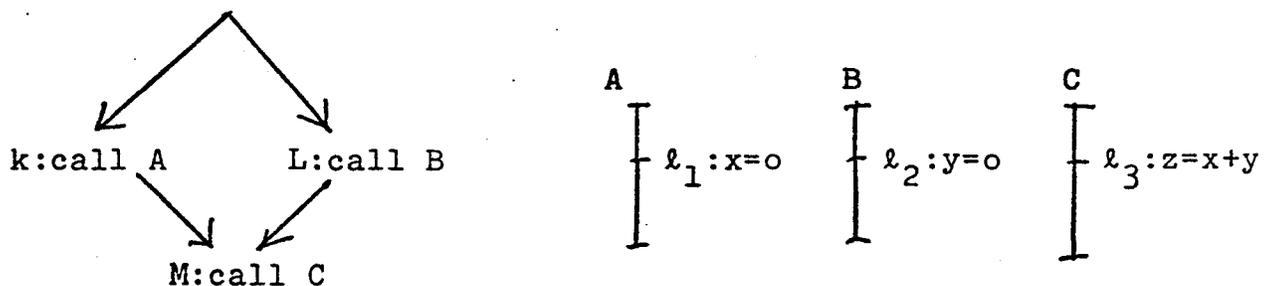
Exactly the same rules apply to the BACK(  $\rightarrow$  ) propagation, where the attribute of an ivariable is determined by the attributes of the other occurrences in the instruction.

Before proceeding further, let us make some comments on compatibility. Let  $w, z$  be two proper strings, and let  $\alpha = \text{callpart}(w)$ ,  $\beta = \text{callpart}(z)$ . The somewhat weaker condition that we shall use for compatibility is:

- (a) If  $\alpha = \beta$  then  $w$  and  $z$  are compatible. Any of them may be taken as their minimum or maximum.
- (b) If  $\alpha \neq \beta$  and  $\alpha$  is a terminal substring of  $\beta$ , then  $w$  and  $z$  are compatible,  $\min(w, z) = w$  and  $\max(w, z) = z$ .
- (c) Otherwise  $w$  and  $z$  are not compatible.

This criterion is necessary but by no means sufficient, and may lead to an over-estimation, as the following example indicates:

(5)

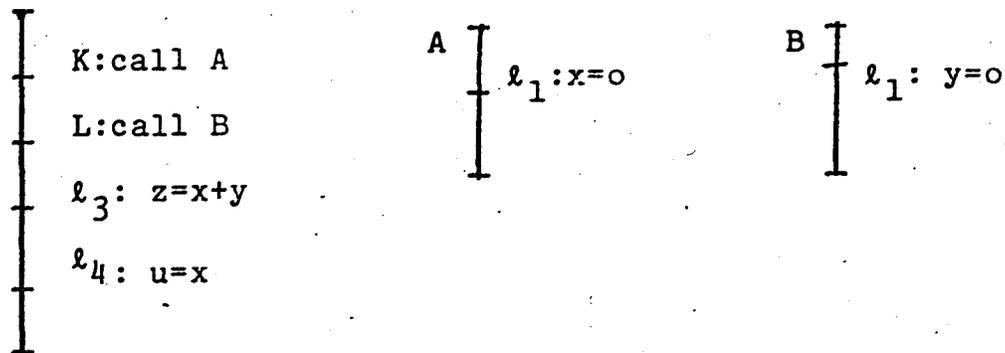


Here  $\text{bfrom}(x_3) = \{x_1(K^{-1}M)\}$  and  $\text{bfrom}(y_3) = \{y_2(L^{-1}M)\}$ .

According to the above criterion,  $K^{-1}M$  and  $L^{-1}M$  are compatible, though there is actually no program path for which  $z$  is defined.

The trouble stems from the fact that we have ignored complete calls in the proper strings. If we allow trace-back strings which contain also complete calls, i.e. strings like  $K^{-1}LL^{-1}M$ , then the correct criterion for compatibility is that one string is a terminal substring of the other. In this case,  $K^{-1}M$  and  $L^{-1}M$  in example 5 are not compatible. However, in the following example:

(6)



we would have, according to the tentative suggestion just made,

$$\underline{\text{bfrom}}(x_3) = \{x_1(K^{-1}LL^{-1})\}$$

$$\underline{\text{bfrom}}(y_3) = \{y_2(L^{-1})\}$$

and we shall correctly determine that  $K^{-1}LL^{-1}$  and  $L^{-1}$  are compatible. However, including strings with complete calls would complicate the algorithm considerably, since we shall have to keep and calculate redundant trace-back information for many variables, for the chance that they might interact with variables for which this trace-back is meaningful. Indeed, in example 6,  $u_4$  will have the attribute of  $x_1$  with the trace-back  $K^{-1}LL^{-1}$  which is clearly not useful. Besides, our tentative suggestion complicates the computation of bfrom, since we would also have to consider branching to procedures which do not modify the variable at all!

For these reasons, we propose to accept the over-estimation produced by the above criterion for compatibility. There is another way, however, to overcome the above problem. This is to compute for each pair of calling points in the same procedure, which of them can be the successor of the other in the flow-graph. Then the correct criterion for compatibility is as follows:

(a) If  $\alpha = \beta$ , let  $\text{returnpart}(w) = J_m^{-1} J_{m-1}^{-1} \dots J_1^{-1}$   
 and  $\text{returnpart}(z) = K_n^{-1} K_{n-1}^{-1} \dots K_1^{-1}$ . Let  $r$  be an index such that  
 $K_i = J_i$  for all  $i < r$ , and  $K_r \neq J_r$ . If no such  $r$  exists, then it is  
 obvious that one of  $w, z$  is a terminal substring of the other and they  
 are compatible, with obvious definitions of their maximum and minimum.  
 If such  $r$  exists, then it is easy to check that  $K_r$  and  $J_r$  occur within  
 the same procedure. Now, if one of them can be the successor of the  
 other, then  $w$  and  $z$  are compatible, and if say  $K_r$  follows  $J_r$  in the  
 flow-graph, then  $\max(w, z) = w$  and  $\min(w, z) = z$ . On the other hand, if  
 neither of  $K_r, J_r$  can follow the other, then  $w$  and  $z$  are not compatible.  
 (b) and (c) are the same as above.

II. BFRM (+): In this case we calculate the attribute of an ivariable  
 $v$  as the disjunction of the attributes of all occurrences in  $\text{bfrom}(v)$ .  
 The specific rule applied is as follows:

Suppose that  $(\alpha, w)$  is a primitive attribute of  $u(z) \in \text{bfrom}(v)$ .  
 We say the  $z$  is a proper continuation of  $w$  if, after concatenating  $w||z$ ,  
 and deleting from it iteratively all consecutive pairs of the same call  
 and return (i.e. of the form  $KK^{-1}$ ) we are left with a proper string of  
 returns and calls. This string is denoted by  $w \cdot z$ . Then  $(\alpha, w \cdot z)$  is  
 taken as a primitive attribute of  $v$ . Otherwise  $(\alpha, w)$  is ignored.

Example (1): Let  $\text{attrib}(x_1) = (1, e)$ ,  $\text{attrib}(x_2) = (2, e)$  (1, 2 are any  
 attributes and  $e$  is the empty string)

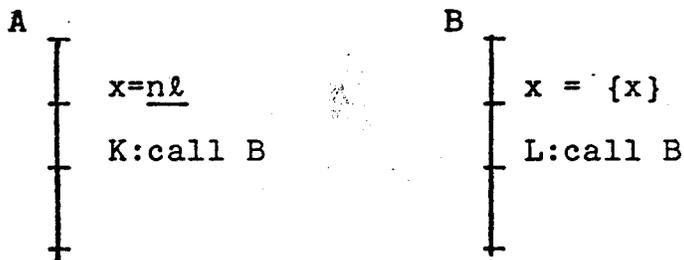
Then	$\text{attrib}(x_3) = (1, K) \vee (2, L)$	(BFRM)
	$\text{attrib}(y_3) = (1, K) \vee (2, L)$	(FWD)
	$\text{attrib}(y_4) = (1, e)$	(BFRM)
	$\text{attrib}(y_5) = (2, e)$	(BFRM)

Thus, the incorrect chainings have been avoided.

(2) Let  $\text{attrib}(x_1) = (1, e)$   
 $\text{attrib}(x_2) = (0, e)$   
 $\text{attrib}(x_4) = (0, L) \vee (1, K) \quad (\text{BFRM})$   
 $\text{attrib}(y_4) = (0, L) \vee (1, K) \quad (\text{FWD})$   
 $\text{attrib}(y_3) = (0, e) \quad (\text{BFRM})$

and again the correct chaining has been obtained. It can be easily verified that in example 3 too the algorithm yields the correct chaining.

Note that if no bounds are imposed in flow-analysis we can obtain arbitrarily long strings. e.g. -



If in this example we do not restrict the nesting level of sets allowed, e.g., in type-finding we can produce attributes for  $x$  in B with strings of arbitrarily many L's. However, for practical purpose, it is reasonable to suppress cyclic strings in the trace-back, i.e. - regard KLL as KL etc.

III. FFRM (+): In this case we aim to obtain the attribute of an occurrence  $v$  as the disjunction of the attributes of all occurrences  $u$  such that  $v \in \text{bfrom}(u)$ , i.e. -  $u \in \text{ffrom}(v)$ , where the actual modified definition of ffrom is:

$$\text{ffrom}(v) = \{ u(z) : v(z) \in \text{bfrom}(u) \}$$

Here we apply the following rule:

Suppose that  $(\alpha, w)$  is a primitive attribute of  $u(z) \in \text{ffrom}(v)$ . Then, if  $z = K_1^{-1} K_2^{-1} \dots K_m^{-1} L_1 \dots L_n$ , put  $z^{-1} = L_n^{-1} K_m \dots K_1$ . If  $z^{-1}$  is a proper continuation of  $w$ , then  $(\alpha, w.z^{-1})$  is taken as a primitive attribute of  $v$ . Otherwise  $(\alpha, w)$  is ignored.

Again, this sometimes leads to an over-estimation. In example (5),  $(0, K^{-1}M)$  is a primitive attribute of  $x_3$  and  $x_3(L^{-1}M) \in \text{ffrom}(x_2)$ . We shall thus conclude that  $(0, K^{-1}L)$  is a primitive attribute of  $x_2$ , which is incorrect. Note, however, that if  $K^{-1}L$  were proper, then we would obtain a correct attribute.

Remarks:

- (a) The algorithm that has been suggested might also be useful in intra-procedural analysis, in particular, it can detect errors of a sort that would otherwise go undetected when at an instruction we unknowingly merge two flow paths which are not simultaneously executable. We can thus generalize the algorithm and carry trace-back information during regular flow analysis to obtain deeper results whenever it is desirable to do so.
- (b) If, after completing the analysis, we find variable occurrences with two or more possible attributes, we might produce conditional code which, depending upon the calling instruction from which the procedure was last called, utilizes the corresponding attribute of the occurrence efficiently.
- (c) Actually, a simpler, slightly over-estimating algorithm could be obtained if we define the trace-back strings to include procedure calls only (without returns), while retaining the same form of the bfrom map. This would make it easier to handle the strings, check for compatibility etc. while the loss of information will be about the same as in the above algorithm. More specifically - two strings w,z will be compatible if one is a terminal substring of the other, with natural simplified definitions of their maximum and minimum, whereas the appropriate rules for the BFRM and FFRM propagations remain the same.

References

- [NL134] J. Schwartz, Inter-Procedural Optimisation, SETL Newsletter 134.
- [V1] L. Vanek, Global Analysis Techniques for the optimizing SETL Compiler.